

**FACULTY OF ECONOMIC AND MANAGEMENT SCIENCES**



**GUIDELINES FOR COMPLETION OF A RESEARCH PROPOSAL**  
**Department of Public Administration & Management**

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## **GUIDELINES FOR COMPLETION OF A RESEARCH PROPOSAL**

This booklet is a mere guideline with regard to the format of the proposal. IT IS EXPECTED FROM CANDIDATES TO STUDY RESEARCH LITERATURE AND APPLY THE PRINCIPLES FROM THEIR OWN PERSPECTIVE. All proposals should conform to certain prescribed requirements. The research proposal should be prepared as follows.

### **1. FORMAT**

- Font type: Arial
- Font size: 11pt
- Line spacing: 1½
- Each page should have a margin on either side of the page of at least 2,5 centimetres.
- Pages must be numbered (bottom, right), except for page one on which the introduction commences.
- Length of the research proposal will be influenced by the topic.

All proposals must be structured in accordance with the following framework:

- **Cover page (example Attachment A).**
- **Title**
- **Table of contents**
- **Introduction**
- **Background and reason for the study**
- **Formulation of the research problem**
- **Aim and objectives of the research**
- **Research methodology**
- **Keywords and concepts**
- **Chapter outline**
- **Timeframe**
- **Preliminary bibliography**

#### **1.1 Title**

The title of the document must be printed on the cover page, and the heading should be given before the introduction, providing the reader with a clear picture of the field covered by the document from the outset. The title should be stated in the least possible number of words and yet provide as much information about the study as possible.

#### **1.2 Table of contents**

Each document should be provided with a table of contents, which should be on the page following the cover page. It is often preferable to divide the text of a document into different divisions. This is done by means of headings that are indicated in the Table of Contents, for example:

### **1. COMMUNICATION: THE PULSE OF ORGANISATIONS**

## **1.1 Levels of communication**

### **1.1.1 Vertical communication**

### **1.1.2 Horizontal communication**

If there is a need to have further sub-divisions **on completion** of the threefold division, bullets should be used.

## **1.3 Introduction**

A scientific document always commences with an introduction in which the author explains the topic and demarcates it so that the reader knows exactly what will follow. Concerning this matter, familiarise yourself with the introduction of any scientific public management document. The introduction is often written **after** the other sections of the proposal have been completed. Please note that everything that is written should contribute to the elucidation of the topic. The title of the proposal should be stated above the introduction. No references should therefore appear in the Introduction.

## **1.4 Background and reason for the study**

Here a motivation must be given on why the topic justifies research. Prior to tackling any research project it is necessary to make an in-depth study (review) of the literature that is related to the subject (problem). Do a thorough study of related textbooks, journal articles, newspapers, published research articles, electronic sources, summaries of theses and proposals, proceedings of conferences and audio-visual sources that are available (Lues and Lategan, 2006).

## **1.5 Formulation of the research problem**

The problem statement must be clear and concise. It has to derive from the topic. A research problem states / identifies what it is that you want to research. It is a statement that communicates the main idea of your proposed research, and it indicates what problem you want to solve through your research project (Lues and Lategan, 2006).

## **1.6 Aim and objectives for the research**

The main aim here is to describe in a clear, concise and understandable manner what you want to achieve. It could, for example, be to prove something, to formulate solutions to existing problems, to describe, to explain, to predict or to investigate something. The aim is **WHAT** you want to do whilst the objectives state clearly **HOW** this will be achieved. The aim and objectives must be clear, specific, measurable and achievable (Lues and Lategan, 2006).

## **1.7 Research methodology**

Documents should be prepared on a scientifically and academically well-grounded basis. You are therefore expected to acquaint yourself with the scientific research designs and methodology as it appears in the relevant literature that is available in all university libraries.

Here it is expected that you will give an indication of the design and methodology that will be followed for your research. Keep in mind that quantitative modes of inquiry include experimental and non-experimental designs, qualitative modes of inquiry include interactive studies. Once you have decided on the design you should provide a clear explanation of how data is to be collected. It may be either a literature study and/or an empirical data collection (Maree, Creswell, Ebersöhn, Eloff, Ferreira, Ivankova, Jansen, Nieuwenhuis, Pietersen, Plano Clark & van der Westhuizen, 2007).

### 1.8 Keywords and concepts

What is needed here is a list of keywords and concepts for which the reader should be on the lookout throughout the text. These words and concepts form the golden thread that can be followed through the course of the whole proposal.

### 1.9 Chapter outline

Within the scope of a research proposal, an outline is provided of what the candidate regards as the point of departure and final point of his/her research. Under this heading, a systematic exposition is given of all the chapters, along with a brief exposition of what the author envisages with each. In this regard, one can also assume that you should have a thorough knowledge of the subject to give substance to the scope. It is understood, however, that you cannot have all the relevant information at this early stage of your research. If questionnaires are to be used, information obtained from them will only be known at a later stage. In such cases, it should be explained in the scope that the findings can only be made clear after the questionnaires have been processed. From this it can be deduced that there is a high degree of correlation between the research method and the scope. In fact, it is an important requirement that all of the above-mentioned factors together create a total image of the research.

### 1.10 Timeframe

The timeframe and expected outputs from the project (e.g. qualifications, artifacts, conference contributions and publications) should be considered. This is where time management is of the essence. Wolfe (2003:Online) proposes the following **example** to capture the time management and duration of the project. Also revisit attachments C and D for closing dates regarding the submission of MPA proposals.

	20__												20__				
	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	→
Survey literature																	
Write research proposal																	
Gathering of data and execution of experiments																	
Write introduction																	
Revise introduction																	
Write materials and methods																	
Revise materials and methods																	
Write results and discussion																	
Revise results and discussion																	
Write conclusions and recommendations																	
Revise conclusion and recommendations																	
Add content, acknowledgements, graphs, appendixes etc.																	
Revise first draft																	
Proof reading																	
Printing, binding and handing in final manuscript																	

### 1.11 The body of the proposal

The above-mentioned information has focused mainly on the research proposal and this proposed structure should be directly applied in the resulting proposal. The following sections will be required to supplement the research proposal.

In the body of the proposal, the factual data, opinions and explanations regarding the topic are discussed. Do not write down "body" as a heading, but rather use the appropriate heading name. This section of the document, which is the largest, must form a systematic and logical unit. The author develops his/her arguments around the factual information and explanations regarding the topic in such a manner as to enable the reader to follow exactly how the author deals with the topic to reach a certain conclusion. As already mentioned, every aspect of the topic is discussed under a suitable sub-heading in the numbered chapters so that the most important components of the topic can be identified at a glance.

### 1.12 Conclusion

The factual information relating to the topic is debated (discussed/explained) in the "body" of the document. A Conclusion is then reached. The deductions made regarding the factual information and arguments (discussion/ explanation) that are given in the body of the document

are provided point by point in the Conclusion by the author. The Conclusion is, in fact, a summary of the opinions of the author. No fresh arguments, new facts or sources should be contained in the Conclusion. Only in *exceptional* cases should citations be used in the Conclusion.

Because the Conclusion and the Introduction both deal with the same topic, a logical connection should be maintained between them - the one introducing the topic and the other drawing the conclusion.

### 1.13 Bibliography

A list of sources (books, periodicals, newspapers, reports and other media) used to obtain the facts must be provided on completion of the Conclusion. Sources of information can be located by consulting:

- online **library catalogues**: Ask local librarians;
- **bibliographies**;
- journal **indexes** and journals containing abstracts;
- computer **databases**; and
- **internet websites such as -**

**Aardvark**: <http://www.aardvark.co.za>. Search for South African sites via this search engine.

**Ananzi**: <http://www.ananzi.co.za>. Available with a directory of subjects.


**AltaVista**: <http://www.altavista.com>. The comprehensive coverage and wide range of power searching commands make it a particular favourite among researchers.

**Google**: <http://www.google.com>. Makes heavy use of link popularity as a primary way to rank websites. To search in title of documents only, use allintitle e.g. allintitle:"trade union".

**Yahoo**: <http://www.yahoo.com>. It is the largest web guide compiled by people.


### Online databases and journals available via the UFS library:

To access the content available in these online databases or journals, log onto the worldwide web and go to the homepage of the University of the Free State: [www.ufs.ac.za](http://www.ufs.ac.za)

 Now click on the following links:

 Library

 Electronic resources

 [Click on 'accept' to accept the copy right and other conditions for using these electronic resources.]

Databases OR Journals

If you are on campus, use the 'on campus' links. If off campus, follow off-campus links (see top of page).

When you follow the link to 'Journals', you will be directed to a function that allows you to select or search for the relevant journal from among all available journal titles. Once you have



identified the relevant journal(s), you will be provided with links to the relevant databases containing these journals as well as links to the library in case of journals available in hard copy in the UFS library. When you want to locate electronic material from the relevant journal(s), please follow the former links.

Next, click on the particular database you want to access – the following databases are particularly useful for students in the social sciences: *EBSCOhost*, *ScienceDirect*, *JSTOR*, *Oxford Journals* and *SpringerLink*. The database *SAePublications* in turn contains South African content and therefore is particularly useful in locating work that has been published in local journals. Students are welcome to consult other databases, in particular ones that contain full text content from academic journals (refer descriptions of individual online databases for more information).

After having clicked on the relevant database link, you will be prompted for a patron name, patron number, and PIN number if you are off campus. For the patron name or ID, please use your surname (e.g. Tsopo). Use your student number for the patron number after having adjusted your student number as follows:

- 1) Old student numbers (starting with '19'): drop the '19' in front as well as the last digit, and add '10' to the end of the remaining series of numbers
- 2) New student numbers (starting with '20'): use student number as it is, but add '10' to the end of the series of numbers

**For the PIN, type another password. The personal identification number (PIN) is similar to the PIN required by banks for ATM transactions, except that in this case you create the PIN yourself. The next screen will prompt you to re-enter your PIN and then you will be logged in. For subsequent logins, you can use this PIN, so please remember to write it down somewhere. Once you have entered the above information, you will be directed to the relevant database or journal where you may perform general/advanced searches and/or locate specific journal content.**

Note: Use the 'IP authentication access' link to enter the *SAePublications* database, NOT the login function. In case you have problems accessing the online databases or journal content, kindly contact:

**Me Mariechen Praekelt**  
 Library & Information Services  
 UFS Library, level 5  
 Tel: 051 401 2512  
 Email: [Marieche@ufs.ac.za](mailto:Marieche@ufs.ac.za)

## 2. GENERAL

### 2.1 Plagiarism

The Faculty supports the UFS Policy on the Prevention of Plagiarism and Dealing with Academic Writing Misconduct and regards all forms of plagiarism as well as academic misconduct in a serious light.

In the policy plagiarism is defined as follows:

Plagiarism implies direct duplication of the formulation and insights of a source text with the intention of presenting it as one's own work. Plagiarism cannot be confirmed as a result of mere similarities of words between the source text and the borrowed text as in the case of terminology, commonly used phrases and known facts.

Plagiarism is distinguished from forms of academic writing misconduct such as:

- cribbing in tests and examinations;
- collusion and fabrication or falsification of data;
- deliberate dishonesty;
- purchasing assignments, dissertations and/or theses on the Internet and presenting such documents as one's own work;
- presenting the same work for more than one course or in consecutive years; and
- the submission of another person's work as one's own original work.

Plagiarism is also applicable when no recognition is given to the original author. Internet plagiarism applies to downloading, copying and pasting information as well as not acknowledging these authors.

If you would like more information on precisely what is meant with plagiarism and when you are guilty of this, please go to I-LEARN (Blackboard) and look under the module code EBW Skills. You can also access the complete document on the UFS website, at [www.ufs.ac.za](http://www.ufs.ac.za) and then select **About the UFS** under **UV Facts**, look under Institutional Policy Documents. The complete policy as well as other resources that deal with the various referencing systems, like Harvard and the APA can be found.

Submission of the assignments (draft and final) must be done on Blackboard via Turnitin. **No late submissions will be accepted or marked. Similarity in the originality report should not exceed 24%. The colour of the originality report should be green when submitted. In the event that the similarity in the originality report exceeds 24%, 5 marks will be deducted. Assignments that exceed 35% in the similarity report will not be marked.**

NB: Students that make themselves guilty of plagiarism during the submission of the assignment will be dealt with in terms of the UFS policy on plagiarism. Please note that you can make multiple submissions in the draft Turnitin box however you only have one opportunity for submission in the final Turnitin box. Therefore ensure that you have read the assessment rubric, followed all the instructions, checked whether it is the correct document that you are submitting and covered all aspects before making your final submission in the final Turnitin box.

ALL INQUIRIES REGARDING THE SYSTEM SHOULD BE DIRECTED TO:

## Me Nanette Mulder

Centre for Teaching and Learning

UFS Library, ground floor

Tel:051 401 9796

Email:[MulderN@ufs.ac.za](mailto:MulderN@ufs.ac.za)

## 2.2 Citations in text

Authors should protect themselves against allegations of using the work of others as their own by using references to indicate the source from which information was obtained. References can be made by means of direct citations, or indirectly when the author uses his/her own words to express other writer's thoughts. By limiting direct citations the quality of a document is enhanced. Citations should be used only in cases where the author cannot succeed in expressing the thoughts of another writer with the same impact in his/her own words. Even in these cases lengthy citations should be avoided. An author should preferably start a sentence in his/her own words and then make use of citations where necessary to reflect the additional points of view of other writers in a suitable manner.

- A direct citation from the work of another author must be placed in quotation marks. When such a direct citation contains a citation from another source, the latter should be distinguished by placing it between single quotation marks, i.e. "... '...' ...".
- It is admissible to omit a word or words from a citation provided that the original idea of the author is not altered by the omission. Attention must be drawn to the omission by indicating the omitted parts by means of an omission sign consisting of three consecutive full stops, i.e. "\_\_\_".
- It may be necessary to explain a word in a citation or to give an opinion on it. Such explanation or opinion should be placed in comment immediately after the particular word.
- Citations should as far as possible be in the language of the original text, or if this is not possible it should be in the language of the document in which it is cited.
- If an author wishes to emphasise parts of a citation he/she may use italics or underlining to do so.
- Excessive and lengthy citations should be avoided because they impair the unity of the work. Citations should only be used if they are meaningful, i.e. where it is necessary to reflect the viewpoint of a particular author and it is impossible for the candidate to do so in his/her own words. Generally known and accepted facts need not be justified, even if the author has obtained them from another source.

## 2.3 Punctuation and language use

In the writing phase of your proposal, the objective should be to seize and retain the reader's interest and to write the most informative and legitimate paragraphs possible. It often happens that the sequence of words that we use for speaking is not the most effective for writing (Lategan and Lues, 2005:107). The reason might be that when we speak we often compete

for “speaking space” and therefore tend to put our main points first. For intellectual capacity, however, the context should be first (Goddard, 1998:37). The following aspects are important pointers to apply when writing informatively.

- Particular attention should be given to language and style. An author should never use a word if he/she does not know its meaning. Avoid clichés and words that cannot be quantified such as "utterly", "very", "tremendously" and "at the end of the day".
- Avoid the use of cumbersome expressions such as "in connection with", and "have a bearing on". Be especially wary of clichés such as: "It is imperative for government officials to work efficiently," or "We need a smaller but more efficient civil service". Under no circumstances should pronouns like **I**, **we** and **you** be used.
- **Spelling mistakes in research proposals and resulting proposal of postgraduate candidates are unforgivable.** You should therefore be familiar with the spelling rules. Writing should be plain and simple, and literal translations as well as words that are not in general use should be avoided. Short sentences contribute to fewer errors.
- Preparation for writing a scientific document should be methodical to ensure scientifically accountable results. Information should be collected, stored and processed systematically. Specific steps should be followed to ensure that all factual information regarding the topic is collected and if necessary included in the document.
- In research and scientific research proposals the author is not referred to in the first person. Instead of using “I found ...” rather use “It was found...”. (Eunson, 1994:101). However, it is best to avoid using this construction too often, as Day (1998:209) suggests that the active voice should rather be used as it is “usually more precise and less wordy than the passive voice”.
- In a proposal the empirical research has already been done and therefore the text should be in the past tense.
- Be specific rather than general.
- Rather use short, familiar words and sentences than longer, less familiar ones (Eunson, 1994:102).
- Use accurate words rather than vague ones (Goddard, 1998:40). In this regard Day (1998:210) is of the opinion that euphemisms should not be used, especially in scientific writing. The author refers to the example of laboratory animals that are not “sacrificed” but simply “killed” (1998:210).
- If a proposal contains jargon (technical terms), provide a glossary at the end of the proposal (Eunson, 1994:102). However, the research and proposals are written for an

educated audience and therefore Day (1998:215) suggests that only the unusual technical terms need explanation.

- Break up your text into paragraphs. Combine sentences into a paragraph which contains the development of one complete thought (Eunson, 1994:20).

### 3. REFERENCES IN TEXT

The Harvard method of referencing should be used when giving recognition to a certain source. Examples of source references within texts are as follows:

Referencing or citation is to be used within research projects:

- for direct quotations;
- to validate ideas and opinions; and
- for paraphrasing or indirect quotations (Lategan and Lues, 2005:135).
- The **initials or first names of the authors are not used in the text**, except where there is more than one author with the same surname.  
E.g. can occur in various instances (Huskisson, 2002:89).
- When the **name of the author is part of the sentence**, only the year of publication and the page number are placed in round brackets.  
E.g. according to Huskisson (2002:90) maladministration can appear in ...
- When **summarising an opinion of the author and there are no page references**, you refer to the entire book.  
E.g. Birdson (1990) made a strong plea ...
- If a book has been written by **two authors**, both are mentioned.  
E.g. Redelinghuys and Steyn (2005:112) saw the quantitative ...
- In the case of **three authors**, all three are mentioned in the **first reference**, and thereafter only the first author's name is mentioned, followed by *et al.*  
E.g. 1<sup>st</sup> reference: variables can be discreet or continuous (Redelinghuys, Steyn and Benade, 2003:200).  
2<sup>nd</sup> reference: The following guidelines can be followed, according to Redelinghuys *et al.* (2003:213).
- In the case of sources with **more than three authors**, all the authors are mentioned in the **first reference**, and thereafter only the first author's name is mentioned, followed by *et al.*  
E.g. 1<sup>st</sup> reference: ... concerning financial policy (Wilson, Loeb, Walsh, Labouvie, Petkova, Luit and Waternaux, 2000:451).

2<sup>nd</sup> reference: Complaints by the public can be identified as symptoms of poor service delivery by Municipalities (Wilson *et al.*, 2000:455).

- The works of **authors who have written more than one book or article in the same year** are differentiated from each other by placing a small letter in the text after the date. This letter is repeated in the date in the bibliography.  
E.g. many public sector managers follow this approach (Huskisson, 2004a:45; Huskisson, 2004b:30).
- In the case of an **editor**, the name of the editor and year of publication are placed in round brackets in the text. **\*Note that the “(ed.)” is not included in the text reference.**  
E.g. (Codagen, 2005:67).
- When a **quote** is from a **secondary source**, both sources must be quoted in the text.  
E.g. Inter-governmental relations do seem to be an important variable as emphasised by Kuhn (cited in Harvey, 2006:44).
- When referencing **different material from the same author**, arrange them in chronological order.  
E.g. Dwyer (2000:19-20; 2003:43) mentions that ...
- When referring to **more than one source to prove the same fact**, all the sources are mentioned in the same reference.  
E.g. (Fisher, 1999:12; Krikbush, 2005:10; Chappel, 2001:27; Laonde, 1997:65).

### 3.1 Referencing government publications

- **Departments:** If reference is made to material from a government department and the author is known, treat the document like a book. If the author is not known, the department is assumed to be the author.  
E.g. (Department of Education, 2000:13).
- **Acts:** **Constitution of the Republic of South Africa**, 1996 (Act 108 of 1996). The number and year need not be quoted in the second or further reference to an act.  
E.g. (Republic of South Africa. Constitution, 1996). The **Citation of Constitutional Laws Act, 2005** was published in the *Government Gazette* on 27 June 2005 and came into operation on the same day. The effect of this Act was to change the manner of referring to the Constitution as follows: Previously: The Constitution of the Republic of South Africa, Act 108 of 1996 **Currently: The Constitution of the Republic of South Africa, 1996**
- **Government Gazettes:** (Country. Government Gazette, date of publication: page/s).  
E.g. (Republic of South Africa. Government Gazette, 2002:8).

- **White/ Green Papers:**(Country. White/ Green Paper name, Date:page/s).  
E.g. (Republic of South Africa. White Paper on Safety and Security, 1999:15).
- **Bills:**(Country. Bill name, Date:page/s).  
E.g. (Republic of South Africa. Anti-terrorism Bill, 2002:5).
- **Abbreviations:**The whole name of any subject-related jargon needs to be written in full in the first reference, followed by the abbreviation in brackets and thereafter only the abbreviation is mentioned.  
E.g. 1<sup>st</sup> reference:... concerning the Employee Employment Programme (EAP), ...  
2<sup>nd</sup> reference:Motivated public officials can be attributed to the EAP.

### 3.2 Quoting from non-book resources

- **Video:**(Author (if available) date:video).  
E.g. (Jones, 2004:video).
- **Film:**(Title, year of publication:film).  
E.g. (Tsotsi, 2006:film).
- **Broadcast:**(Title, date:broadcast).  
E.g. (News at Ten, 2005:broadcast).
- **Personal communication:**(Surname and initial/s of person with whom the communication was, year:personal communication).  
E.g. (Endeman, L.C.P. 2003:personal communication).

### 3.3 Electronic material

Follow the “author - date” procedure as prescribed by the Harvard method for use during normal citing of information sources.

E.g. (Smith, 2002:Online). Note that the date in the reference is the **date published** rather than the date retrieved and you only need to provide the year.

### 3.4 Judicial documents

- **Court cases:**SA after 1947:Standard Bank v Neugarten 2005 3 SA 695 W:703C-D.
- **Criminal cases:**In criminal cases only the family name or abbreviation of the family name of the accused is used:Tsutso 2005 2 SA 666 SR668-669 K 1956 SA 353 A:668.
- **Second reference to court cases:**Only the name and page reference of the case is quoted in a second or further reference to court cases (**do not** use *ibid.* or

supra):Standard Bank v Neugarten:705 or Tsutso:670.

- **Proclamations and Government notices:**GN 162 Government Gazette 2005:103(4157). Proc. 147 Government Gazette 2005:131(2123). Note that the reference to the Government Gazette should be provided (Vol. 103, No. 57). A second or further reference need only be:GG 162/2005.

Text references **to authors** should only include the surname, without initials, unless reference is made to more than one author with the same surname. Give page references rather than chapter references. Text references should preferably be placed at the end of a sentence or after a quotation. Avoid excessive references and substantiation or an excessive number of texts in a reference. Terms such as **op cit.** and **ibid.** are totally inadmissible. Explanations such as "my emphasis" must be placed within the parentheses of the reference immediately after the page reference.

#### 4. REFERENCING IN THE BIBLIOGRAPHY

In the Bibliography (source reference list) complete bibliographic particulars of the sources are given. These sources are listed alphabetically according to the author or the title. **The title is either in italics, underlined or in bold.** Consistency in the choice of this format is very important. A bibliography can contain sources that you have consulted but which may not be referred to in the text (as you have done in this piece), while a Reference List cannot contain anything not referred to.

##### 4.1 Books

Author(s) and date of publication:

Entries in the bibliography are made as follows:

- One author:Huskisson, J.M. 1999.
- Two authors:Steyn, B.L. and Benade, F.C. 2005.
- Three authors:Peters, E., Plow, E.G. and Tric, J.M. 2000.
- Editors:Use the abbreviation ed. for editor or eds. for editors, after the initials of the editor e.g. Louw, W.J. ed. 2004.

The date refers to the date of publication as it appears on the title page, on the reverse side of the title page, or in any other place in the publication.

##### Title

The full title, as it appears on the title page, is provided and is in italics in the bibliography.

E.g. *Public Management in South Africa*. A subtitle is separated from the title by means of a colon.

E.g. *Service delivery:an introduction and overview*.

##### Edition or print

Print and first editions of sources are not mentioned, only 2<sup>nd</sup>, 3<sup>rd</sup>, etc. The following



abbreviation is used: 2<sup>nd</sup> ed.

E.g. Connolly, T.M. *Workstudy systems: a practical approach to implementation and management*. 2<sup>nd</sup> ed.

### Place of publication

If there is more than one place of publication, only the first one is mentioned.

E.g. Greenstein, M. 2000. *Provisioning administration: security, risk management and control*. Boston: \_\_\_\_\_. If the place of publication cannot be established, the abbreviation [s.l.] (sine loco) in square brackets are used.

E.g. Greenstein, M. 2000. *Provisioning administration: security, risk management and control*. [s.l.]

### Publisher

The initials of the publisher are not included.

E.g. Kotler, C. 1999. *Organisational behaviour*. London: Taylor & Francis. *If the publisher and the author are the same person, the publisher is not included.* If the publisher of a source cannot be determined, the abbreviation [s.n.] (sine nomine) in square brackets are used.

E.g. Kotler, K. 1999. *Consumer behaviour*. Britain: [s.n.]

### Series

If the source being used is one of a series of sources, the entry is written between round brackets and is placed at the end of the description.

E.g. Rosandich, R. G. 2001. *Human resources development: Using workplace skills plans*. London: Chapman & Hall (Intelligent Engineering Series no. 1).

## 4.2 Contribution in a book

The surname and initials of the contributing author. Year of publication. Title of contribution. Followed by *In*: surname, initials of author or editor of publication followed by ed. or eds. if relevant. *Title of book*. Place of publication: Publisher, Page number(s) of contribution.

E.g. Bantz, C.R. 2003. Social dimensions of labour relations. *In*: Anderson, J.A. ed. *Annual review of human resources management and development*. Newbury Park, CA: Sage, pp. 502-510.

## 4.3 Journal article

The surname and initials of the contributing author. Year of publication. Title of article. *Title of journal*, volume number and (part number), page numbers of contribution, Month of publication.

E.g. Granger, S. 2002. Intergovernmental relations: an analytical perspective. *Administratio Publica*, 12(6), pp. 17-19.

## 4.4 Newspaper article

The surname and initials of the contributing author. Year of publication. Title of article. *Title of newspaper*, Day and Month of publication, Page number of contribution.

E.g. Paton, C. 1998. Government tackles the issue of AIDS in the workplace. *Sunday Times*, 19 April, pp.7.

#### 4.5 Conference paper

The surname and initials of the contributing author. Year of publication. Title of contribution. *Title of conference proceedings* including date and place of conference. Place of publication:Publisher, Page numbers of contribution.

E.g. Silver, K. 2001. Electronic mail:the new way to communicate in Provincial Departments. *9<sup>th</sup> international online information meeting*, London 3-5 December 2001. Oxford:Learned Information, pp. 323-330.

#### 4.6 Publication from a corporate body

Name of issuing body, Year of publication. Title of publication. Place of publication:Publisher, Report Number (where relevant).

E.g. UNESCO, 2003. General information programme and UNISIST. Paris:Unesco, (PGI-93/WS/22).

#### 4.7 Thesis

The surname and initials of the contributing author. Year of publication. *Title of thesis*. Designation (and type). Place of publication:Name of institution to which it was submitted.

E.g. Agutter, A.J. 2005. *The leadership significance of current Public Sector managers*. Thesis (PhD). Edinburgh:Edinburgh University. The name of a thesis is only in italics if it has been published. Quite often the date is actually the date of completion, rather than the publishing date.

#### 4.8 Dictionary

*Title of dictionary*. Year of publication. Edition. Place of publishing:Publisher.

E.g. *Shorter Oxford dictionary*. 2003. 9<sup>th</sup> ed. Oxford:OUP.

#### 4.9 Encyclopaedia

The surname and initials of the contributing author. Year of publication. Article title. *In: Title of encyclopaedia*, vol., page numbers.

E.g. Lessing, B.P. 2005. Health services. *In:McGraw-Hill Encyclopaedia of Science and Technology*, 12., pp. 346-347.

#### 4.10 Personal communication

Surname, initials of person with whom the communication was. Year. Personal communication. Date, Place where communication took place.

E.g. Endeman, L.C.P. 2003. Personal communication. 15 July, Cape Town.

#### 4.11 Government publications

**Departments:**Official name of country. Name of department. Date of publication. *Title of document*. Place of publication:Publisher.

E.g. Republic of South Africa. Department of Water Affairs and Forestry. 2002. *Guidelines for harbour week*. Pretoria:Government Printer.

**Acts:**Official name of country. Date of publication. *Title of act, number and year of act*. Place of publication:Publisher.E.g. Republic of South Africa. 1982. *Skills Development Levies Act, 1999* (Act 9 of 1999). Pretoria:Government Printer.

**Government Gazettes:**Official name of country. Date of publication. *Title of government gazette* (Proclamation number). Government Gazette, no:vol, month day (Regulation gazette number).

E.g. Republic of South Africa. 2001. *Local government:Municipal Planning and Performance Management Regulations* (Proclamation No. R 796). Government Gazette, 22605:434, August 24.

**White/ Green Papers:**Official name of country. Department. Date of publication. *Title of White/ Green Paper*. Place of publication:Publisher.

E.g. Republic of South Africa. Department of Home Affairs. 2006. *White Paper on International Migration*. Pretoria:Government Printer.

**Bills:**Official name of country. Department. Date of publication. *Title of Bill*. Place of publication:Publisher.

E.g. Republic of South Africa. Department of Justice. 2002. *Anti-terrorism Bill*. Pretoria:Government Printer.

#### 4.12 Electronic material

No standard method for citing electronic sources of information has yet been agreed upon. The recommendations in this document follow the practices most likely to be adopted on the basis of the Harvard method of referencing, and are intended as a guide for those needing to cite electronic sources of information.

##### Elements to cite

Author/Editor. Year. Title. [online]. Place of publication:Publisher (if ascertainable). Available from:<URL>

[Access date].

E.g. Holland, M. 2004. *Harvard System* [online]. Poole, Bournemouth University. Available from:[http://www.bournemouth.ac.uk/using\\_the\\_library/html/harvard\\_system.html](http://www.bournemouth.ac.uk/using_the_library/html/harvard_system.html) [Accessed 22 August, 2006].

#### 4.13 Seminars

BEKKER, J.C.O. 2005. Misconceptions on efficiency and effectiveness. Bloemfontein. Seminar of the South African Institute of Organisation and Methods.

**NB:One chapter at a time must be handed in and NOT the whole document.**

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- Burger, M. 1992. *Reference techniques*. 8<sup>th</sup> revised ed. Pretoria:University of South Africa.
- Day, R. 1998. *How to write & publish a scientific paper*. Melbourne:Cambridge University Press.
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- Eunson, B. 1994. *Writing and presenting reports*. New York:John Wiley.
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- Wolfe, J. 2003. *How to Write a PhD Thesis*. Sydney:School of Physics, University of New South Wales. [online]. Available from:<<http://www.phys.unsw.edu.au/~jw/thesis.html>> [Accessed 12 April 2005].

**Attachment A: The example of the research proposal document cover-page**

**TITLE (BOLD, UPPERCASE, FONT SIZE 14, ARIAL)**

by (small caps, not bold. Font size 11, Arial)

**A.N. OTHER (UPPERCASE, BOLD. FONT SIZE 11, ARIAL)**

(Candidate no.:20050815) (small caps, not bold. Font size 11, Arial)

Research proposal submitted in partial requirement for the degree  
Masters of Public Administration (MPA) (Sentence case, not bold. Font size 11, Arial)

in the (small caps, not bold. Font size 11, Arial)

**FACULTY OF ECONOMIC AND MANAGEMENT SCIENCES (UPPERCASE, BOLD. FONT  
SIZE 11, ARIAL)**

**DEPARTMENT OF PUBLIC ADMINISTRATION AND MANAGEMENT (UPPERCASE,  
BOLD. FONT SIZE 11, ARIAL)**

at the (small caps, not bold. Font size 11, Arial)

**UNIVERSITY OF THE FREE STATE (UPPERCASE, BOLD. FONT SIZE 11, ARIAL)**

**PROMOTER:**Dr. Z.R. Shinga (Sentence case, not bold. Font size 11, Arial)

**BLOEMFONTEIN (UPPERCASE, BOLD. FONT SIZE 11, ARIAL)**

June 2007 (Sentence case, not bold. Font size 11, Arial)

## Attachment B



**FACULTY OF ECONOMIC AND MANAGEMENT SCIENCES  
COVER PAGE FOR INDIVIDUAL ASSESSMENTS**

<b>MODULE CODE:</b>										
<b>SURNAME:</b>							<b>INITIALS:</b>			
<b>CANDIDATE NUMBER:</b>										
<b>DUE DATE:</b>										

**DECLARATION BY CANDIDATE**

I have read the University's Policy on the Prevention of Plagiarism and Dealing with Academic Writing Misconduct:

I understand that I must:

1. abide by all the directives of this Policy, the Assessment Policy, course guides, specific and general regulations and assessment requirements;
2. seek assistance if I am unsure about appropriate citation and referencing techniques;
3. accept responsibility for having full knowledge of the Policy;
4. submit only my own work for any form of assessment, except where:  
the work of others is appropriately acknowledged; and  
the assessor/moderator has required, or given prior permission for, group or collaborative work to be submitted;
5. refrain from intentionally or negligently deceiving the reader by preventing my own work from being copied by another candidate, who may or may not have an intentional or negligent aim to deceive the reader;
6. be aware that according to the Policy, measures for all Level Four violations and repeated Levels One, Two and Three violations are reported and investigated in accordance with the *UFS Statute* on Candidate Discipline (see Chapter XIII, pp 50 – 58); and include with my assignment an electronic report of the software programme if required/where applicable.

										D	D	M	M	Y	Y	Y	Y
<b>SIGNATURE</b>	<b>CANDIDATE NR</b>								<b>DATE</b>								
<b>MARK:</b>																	
<b>PERCENTAGE:</b>									%								
<b>COMMENTS FROM ASSESSOR:</b>																	

## Attachment C

### Procedures and requirements for Master's and PhD registration.

#### Detail regarding the process to register and complete a Master's dissertation

Candidates wishing to complete a Master's dissertation must comply with the following procedures:

- 1) Submit his/her academic record as part of an application to the relevant department and on the basis of the academic record obtain permission from the head of the relevant department to proceed with the process.
- 2) The relevant department may also require the applicant to write a language proficiency test, the results of which will be used, together with the candidate's academic record, to gain permission from the head of the department to proceed with the process.
- 3) Within three months of the registration, submit a research proposal to the relevant departmental Master's committee evaluating Master's research proposals and do a presentation on the proposal to the committee. The three months can be extended by another three months if the candidate has to resubmit his/her proposal – see below for more detail on resubmission. Note that 3 (+3) months is the maximum time allowed.
- 4) Submission, resubmission and approval of research proposals by the departmental master's committee should occur within the first 3 (+3) months of the registration. If after the 3 (+3) month period no research proposal was approved, the candidate cannot continue with a Master's degree. (Of course, heads of departments can use their discretion to allow continuation if sufficient grounds exist for doing so.)
- 5) The departmental master's committee should then consider approval of the proposal. Once approval is granted, the candidate is allowed to continue with his/her study. If approval is not granted, the candidate can be given a date within three months to resubmit the proposal. Thus, the research proposal should be finalised and approved within the first six months of registration.
- 6) Following approval by the departmental master's committee, the title, the supervisor(s) and assessors must be submitted for approval at the first upcoming meeting of the faculty's research committee. The internal supervisor should do the title registration and the registration of the supervisor(s) and assessors simultaneously.
- 7) In addition to approval of the research proposal at the departmental master's committee, the candidate may, following approval at the departmental master's committee, be required to also obtain ethical clearing at the relevant University ethical committee.
- 8) A research Master's Degree can be completed either as a research dissertation or two interrelated publishable manuscripts/published articles. The requirement in respect of a Master's Degree by research is a minimum of two, two interrelated publishable manuscripts/published articles in the form of a manuscript that should be submitted to the supervisor.

- 9) The University distinguishes between full-time and part-time candidates. The time allocated for part-time studies is double that of full-time studies.
- 10) Candidates should re-register annually until completion of the Master's Degree.
- 11) The degree must be completed within the period prescribed by University regulations.

### **Detail regarding the process to register and complete a PhD thesis**

Candidates wishing to complete a PhD must comply with the following procedures:

- 1) Submit his/her academic record as part of an application to the relevant department.
- 2) Submit a copy of his/her Master's dissertation/mini-dissertation to be used by the relevant department to assess the applicant's writing skills.
- 3) The relevant department may also require the applicant to write an IELTS test, the results of which will be used in the application assessment process.
- 4) Prior to admission write and submit an essay (of not more than 5 000 words) under controlled supervision, in which the applicant describes the scope, focus and envisaged field of the study.
- 5) The relevant department will use the documents listed in (1) to (4) to decide on admission of the candidate to the Doctoral degree programme.
- 6) Once admitted the candidate must complete a research proposal within six months (for full-time studies, 12 months for part-time studies). Therefore, full-time candidates who register in January (July) need to present their research proposal to the relevant department in the following July/August (January/February). Part-time candidates who register in January (July) need to present their research proposal to the relevant department in the following January/February (July/August).
- 7) Once the relevant department approves the research proposal, the candidate needs to present the research proposal to the faculty research committee at its September (March) meeting. If approved, the candidate is allowed to register for the next academic year.
- 8) The faculty research committee may request the candidate to resubmit the research proposal at its November (May) meeting. If approved at the November (May) meeting, the candidate is allowed to register for the next academic year.
  - a. If changes required are small, the resubmission is done only in writing at the November (May) meeting of the faculty research committee. The changed written proposal as well as a cover letter from the candidate setting out how the candidate addressed the issues raised by the committee must be submitted to the committee at least two weeks before the meeting.
  - b. If the changes required are more substantial the faculty research committee may require that along with the resubmission the candidate present the proposal again to the committee. This will be done at the November (May) meeting.
- 9) If the proposal is academically seriously lacking, the faculty research committee has the authority to reject the proposal outright, either at the September (March) or November (May) meeting. Outright rejection implies that the candidate cannot register for the next



academic year of the Doctoral degree programme and also does not get another opportunity to present the proposal.

- 10) Once the faculty's research committee approves the proposal, the title of the thesis as well as the supervisors will be registered. Assessors can be registered later.
- 11) If after the first year of registration no research proposal has been approved, the candidate cannot continue with the Doctoral degree programme. (Heads of department or the faculty research committee can use their discretion to allow continuation if sufficient grounds exist for doing so.)
- 12) If, after the faculty research committee accepted the proposal, the candidate makes small changes to the title of the thesis, the relevant departmental Doctoral committee and the Faculty's Research Committee must approve these changes. The candidate need not submit a new research proposal. Such small changes should not involve substantial changes to the topic. Should significant and substantial changes be made to the title and content of the thesis (i.e. a change of topic), the candidate may be required to submit a new proposal and undergo the full approval process anew.
- 13) In addition to approval of the research proposal at the faculty research committee, the candidate may, following approval at the faculty research committee, be required to also obtain ethical clearing at the relevant University ethical committee.
- 14) A PhD can be completed either as a Doctoral thesis or interrelated, publishable articles written under the guidance of a promoter or a promoter and a co-promoter(s). The requirement in respect of a Doctoral degree in the form of publishable, interrelated articles is that at least three publishable articles are submitted.
- 15) The University distinguishes between full-time and part-time candidates. The time allocated for part-time studies is double that of full-time studies.
- 16) The degree must be completed within the period prescribed by University regulations.

### **Detail required from a research proposal that accompanies a title registration**

Please take note that when you register the title of your PhD or Master's thesis, that your submission is accompanied by a research proposal of maximum 15 000 words (excluding the bibliography) in which you cover the following aspects:

- 1) A problem statement and rationale for the study.
- 2) The primary objective of the study, possibly expanded upon with secondary objectives.
- 3) The method to be employed.
- 4) A consideration of the viability of the study in terms of the literature available, the data needed and the financial and other resources required to reach the stated objectives of the study.
- 5) A description of the contribution (value added) that the study will make.

## Attachment D

### Draft curriculum and closing dates regarding submission of M.Admin

OUTCOME	RESPONSIBILITY	TIME FRAME
Define research topic in broad terms	Candidate: Submit research topic to the Department of Public Administration and Management	
Allocation of candidates to supervisors	Department of Public Administration and Management: Notify candidates of supervisor.	Mid November
Registration	Candidate: Visit Administration and submit proof of registration to the Department of Public Administration and Management	January
Compilation of framework	Candidate: Make appointment with supervisor and determine submission dates for respective chapters.	January - September
Progress evaluation	Supervisor	October - December
<b>January 2<sup>nd</sup> year- April</b>		
Registration of titles, appointment of assessors for M.Admin	Supervisors: Give titles to Administrator in the Department Candidate: Complete 2 forms (A5) and (EW2) in hard copy and return to the Administrator in the Department.	Early May
Notice to assessors and appointing those who accept nominations.	Department of Public Administration and Management: Give names to Mrs. Fouche.	First week June
Progress evaluation.	Supervisor	July - August
Notice to assessors regarding submission.	Administration	September
Project presentation.	Department of Public Administration and Management: Arrange session for presentation of research projects. Panel of supervisors: Attend the presentation session and comment on research findings and papers. Candidate: Present research project (PowerPoint) duration 20 minutes.	1 <sup>st</sup> week October
Using comments of presentation session to finalize the proposal and submit pre-final proposal.	Candidate	Mid October
Final submission of proposal.		1 November
Notice to assessors regarding candidates who failed to submit.	Administration	January

## Attachment E

### Draft curriculum and closing dates regarding submission of Hons mini-dissertation:

OUTCOME	RESPONSIBILITY OF CANDIDATE	TIME FRAME
<b>Research topics</b>	Prepare and present a 10-minute PowerPoint presentation of the proposal.	<b>21 July</b>
<b>Writing an introduction and theoretical background</b>	Submit an introduction of the research report on Blackboard. Submit the theoretical background of the research report on Blackboard.	<b>4 August</b> <b>18 August</b>
<b>Research design and methodology</b>	Submit the methodology section via Blackboard and discuss the research techniques, sample size, sample frame, and sample technique with the supervisor.	<b>25 August</b>
<b>Prepare for field work</b>	Submit a final questionnaire and interview schedule in class.	<b>1 September</b>
<b>Data analysis</b>	Work on analyzing data collected from the field. Submit data analysis section on blackboard.	<b>15 September</b>
<b>Findings and results</b>	Submit findings and results section on blackboard.	<b>30 October</b>
<b>Conclusion and recommendations</b> <b>Abstract</b>	Submit the conclusion and recommendations section on blackboard.	<b>13 October</b>
<b>Final submission of research report</b>	Submit final research report with abstract on blackboard: <b>1<sup>st</sup> Opportunity (all candidates submit).</b> <b>2<sup>nd</sup> Opportunity (Only revised reports based on assessment of 1<sup>st</sup> opportunity submission).</b>	<b>3 November</b> <b>17 November</b>